

PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. & Trademark Office

Attorney's Docket No.

23198

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

10/522434

INTERNATIONAL APP. NO.

PCT/EP2003/002080

INTERNATIONAL FILING DATE

28 February 2003

PRIORITY DATE CLAIMED

19 July 2002

TITLE OF INVENTION

SYSTEM AND METHOD FOR ACCIDENT PREVENTION

APPLICANT(S) FOR DO/EO/US

Wolfgang RICHTER et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☒ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.
Drawing (7 sheets)

L2335 512

DECLINED

US Application no (if known)
10/522434

International Application no.
PCT/EP2003/002080

Attorney's Docket No.
23198

17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JP \$950.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) \$750.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)

but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00

Neither int'l prel. exam fee (37 CFR 1.482) nor

int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO \$1000.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Art. 33(2-4) \$200.00

ENTER APPROPRIATE BASIC FEE AMOUNT

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims	32	12		\$600	
Ind. claims					
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)					
TOTAL OF ABOVE CALCULATIONS				\$600	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				\$300	
SUBTOTAL				\$300	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).				\$130	
TOTAL NATIONAL FEE				\$430	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)					
TOTAL FEES ENCLOSED				\$430	
01 FC:1618 02 FC:2615 130.00 OP 300.00 OP				Amt to be refunded	
				Amt to be charged	

- a. ☐ A check in the amount of \$ to cover the above fees is enclosed
b. ☐ Please charge my deposit account 18-2025 \$ to cover the above fees. A copy of this sheet is enclosed.
c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.
d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit
account 18-2025. A copy of this sheet is enclosed
e. A PTO-2038 in the amount of \$ to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive
(37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.
5676 Riverdale Ave. Box 900
Riverdale (Bronx), NY 10471


Herbert Dubno, Reg. No. 19,752

23198

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor Wolfgang RICHTER et al

Patent App. 10/522,434 (US Nat'l phase-of-
PCT/EP2003/002080)

Filed 19 January 2005

Conf. No. 7592

For SYSTEM AND METHOD FOR ACCIDENT PREVENTION

Hon. Commissioner of Patents
Box 1450 Alexandria, VA 22313-1450

10 Rec'd PCT/PTO 16 FEB 2006
Certificate of Express or First-Class Mailing
I hereby certify that I have deposited this cor-
respondence with the US Postal Service as
first-class or, if a mailing-label number is given
below, as express mail addressed to Comm. of
Patents, Box 1450, Alexandria, VA 22313-1450
on

FEB 16 2006

EV790894476

RECORD OF TRANSMITTAL--PCT APPLICATION

<input checked="" type="checkbox"/>	PCT Transmittal	
<input type="checkbox"/>	PCT Application	
<input checked="" type="checkbox"/>	Translation	
<input checked="" type="checkbox"/>	Sheets of Drawing (7)	
<input type="checkbox"/>	PCT Declaration	
<input type="checkbox"/>	PCT Documents	
<input type="checkbox"/>	International Search Report	
<input checked="" type="checkbox"/>	Preliminary Amendment	
<input type="checkbox"/>	Assignment	
<input checked="" type="checkbox"/>	PTO-2038 for Official Fees	
<input type="checkbox"/>	Basic Fee (Small Entity)	\$00
<input type="checkbox"/>	Ind. claims in excess of 3	\$00.00
<input checked="" type="checkbox"/>	12 claims in excess of 20	\$300.00
<input checked="" type="checkbox"/>	Late filing of translation	\$130.00
	Total	\$430.00

Please charge any fees not covered by an enclosed PTO-2038 to
account 18-2025 of the undersigned.

16 February 2006

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Bronx, NY 10471-0900
Tel: (718) 884-6600
Fax: (718) 601-1099
Customer No. 535
rg

Respectfully submitted,
The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752



UNITED STATES PATENT AND TRADEMARK OFFICE

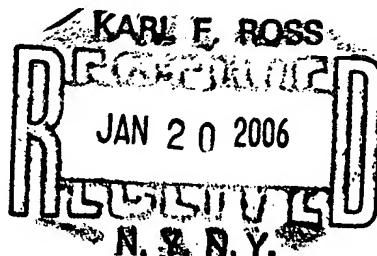
10 Rec'd PCT/PTO

16 FEB 2006

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/522,434	Wolfgang Richter	23198

The Firm of Karl F Ross
 5676 Riverdale Ave
 Box 900
 Riverdale (Bronx), NY 10471



INTERNATIONAL APPLICATION NO.	
PCT/EP03/02080	
I.A. FILING DATE	PRIORITY DATE
02/28/2003	07/19/2002

CONFIRMATION NO. 7592
 371 FORMALITIES LETTER



OC000000017849635

Date Mailed: 01/17/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 01/19/2005
- English Translation of the IA filed on 10/20/2005
- Copy of the International Search Report filed on 01/19/2005
- Oath or Declaration filed on 10/20/2005
- Small Entity Statement filed on 01/19/2005
- U.S. Basic National Fees filed on 01/19/2005
- Assignment filed on 10/20/2005
- Priority Documents filed on 01/19/2005

Applicant's response filed 10/20/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/06/2005 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Small Entity:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing

Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

- \$130 for English translation surcharge not received in full.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/522,434	PCT/EP03/02080	23198

FORM PCT/DO/EO/916 (371 Formalities Notice)